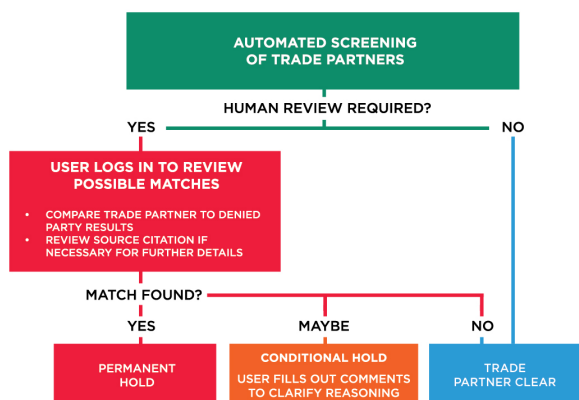# Restricted Party Screening

A Restricted Party is an individual or company considered to be an unfavorable trade partner by one of the many jurisdictions that issue official listings of these parties. The United States, Canada, Australia, Switzerland, the United Kingdom, Germany, Japan, the European Union and the United Nations all issue lists of restricted or denied parties. In today's security and customs compliance conscious environment, importers and exporters are held accountable for ensuring their trade partners do not appear on any of the various screening lists.

Tradeflow offers multiple solutions to assist our customers with managing their Trade Partner compliance to avoid potential security issues, and unfavorable media exposure. The solutions are available within either the Trade Reference Centre or Trade Partner Centre modules of Tradeflow.

Sample Screening Workflow

Solution 1: One Time Verification
Trade Reference Centre
This solution is best applied when a customer is dealing with a one-time, non-repetitive trade partner; for example, a one-time customer or visitor to a facility. This process allows the user to proceed with a one-time verification of a Trade Partner against the denied party lists. The query results are instantly displayed on-screen for the user to review potential matches. Where available, this screening provides a copy of the supporting document against the restricted party to the user outlining the scope.

Solution 2: Single Look Up
Trade Partner Centre
This solution is ideal for infrequent shipments from known trade partners. Similar to a One Time Verification, the user initiates this process to review a single party; however, it is run against a stored name and address record. This query gives the user the predefined search capability to screen a particular trade partner against the various government denied party lists. If there are potential matches found, the user can determine if any of the matches are valid and flag them accordingly. The user can flag the party as Not Matched; or if there is a match, the Trade Partner can be flagged as Restricted or Conditionally Matched (based on the circumstances). If no determination is made, the Trade Partner will remain in a Pending Review status. The system maintains an audit trail of all screenings in the organization's Trade Partner database for future reference.

Solution 3: Mass Screening
Trade Partner Centre
This solution is optimal for shippers who have a product base with known, repetitive trading partners. This solution allows for periodic re-screening of a customer's entire Trade Partner database. This process is similar to a Single Look-Up, except with this process, the user can screen multiple Trade Partners against the various government lists in one action. Upon completion of the batch, an email is sent to the user identifying the potential matches. These Trade Partners are placed in a Pending Review Status until a determination is made. An audit trail of all screenings are in the organization's Trade Partner database for future reference.

Solution 4: Automated Process
Trade Partner Centre
This solution is ideal for high volume shippers who want to manage their Trade Partner compliance in a more proactive and efficient manner. Similar to Mass Screening, the advantage of this process is automation. At each interval, it will audit the organization's Trade Partner database for any changes, and compare the net changes against the various restricted party lists. Tradeflow applications are integrated and flexible. A user is not restricted to one solution. For a high risk commodity, a user may choose to manage their screenings on a pre-scheduled, automated process; but has the flexibility to use a one-time verification or single look-up if needed.

Please contact your local Expeditors sales representative for more information about this service.